

HIEA 131: China in War and Revolution, 1911-1949

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COURSE: HIEA 131
Sect. ID:
Time: TuTh 5:00 – 6:20
Center Hall 109

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COURSE DESCRIPTION AND OBJECTIVES:

This course examines the interrelated themes of war and revolution during the turbulent years from 1911 to 1949. This period saw the fall of the last emperor and the old dynastic system, a social and cultural revolution led by young intellectuals against traditional values, the rise of the Nationalist Party under Sun Yat-sen and then Chiang Kai-shek, the challenge from the Chinese Communists under Mao Zedong, the quest for autonomy or independence by peoples (Mongols, Tibetans, Uighurs) on the frontier, and the Japanese invasion of China.

This year I will be experimenting with a new format for the course, in an effort to engage students in the issues confronting the Chinese people in this period. The first half of the course will follow a conventional lecture format, covering the main events and issues of the first half of the twentieth century. After the mid-term, students will be divided into teams of 6-8 students, each representing a particular constituency in China: Communists, Nationalists, Japanese collaborators, or frontier peoples. You will be assigned to one of those teams and the task of each team will be to read up and study the history and the policy and positions of your group. Groups will assign readings (from the bibliographies below) to their members and discuss these readings in class and out. The final weeks will involve class presentations and debate. Each team will explain their understanding of China's predicament in the 1940s (the final decade of our period) and their plans for China's future. Teams should also be prepared to address the shortcomings of their rivals, and/or why members of other teams should join their side. Tenth week sessions will establish the lead teams for each of the four Chinese constituencies. In the final meeting of the class, during examination week, there will be a final session of presentation, persuasion, negotiation, and resolution to arrive at a consensus solution under the leadership of one of the teams.

A fundamental purpose of this exercise will be to get beyond a history written by and for the victors, and to try to understand the uncertainties and the contingencies of history as it was lived. As much as we need to understand why the Communists emerged victorious in the revolutionary struggle, we need to understand why many people supported the Nationalists (Kuomintang/Guomindang). Even more importantly, we need to understand the position of the much reviled collaborators with Japan. How was their choice to support Japanese domination in the Greater East Asian Co-Prosperity Sphere any different from the choice to support Communist dictatorship and alliance with the Soviet Union, or even the dictatorship of the Kuomintang? The position of the frontier peoples in this debate is particularly important. What relationship should the Tibetans, Mongols or Manchus take toward the Han-Chinese dominated Republic of China?

BOOKS: All books are available in the UCSD Bookstore, and on reserve at the library.

REQUIRED TEXTS:

R. Keith Schoppa, *Revolution and Its Past: Identities and Change in Modern Chinese History*, 2nd edition. (Prentice Hall, 2005).

COURSE READER: There will be a required class reader available from University Reader Printing Service. Readings from the packet will be marked on syllabus with an asterisk (*). Order the packet by going to www.universityreaders.com/students. If you have not ordered from them before, click on “Create a New Account”, click on state (California) and University (UCSD) and enter relevant information and follow instructions. Once you have ordered the packet, you will have immediate access to a PDF version of the first part of the packet and the entire packet will be mailed to you. URPS may also be contacted at 800-200-3908, or 858-552-1120.

LIBRARY WEB SOURCES: Several selections from University of California Press books are available on-line in “eScholarship” versions for UC users. These may be accessed from any campus computer (those in the Geisel Library make it very fast) or by setting up proxy server access from off-campus, which you can do if you have a UCSD account. (For instructions, visit “Library Services” on the UCSD Library home page, then select “Accessing Library Resources from Off-Campus.”) You may read the selections on line or print out a copy for your personal use. Look up the book in Roger, when you get the title, click the link under the line “Link to on line version below.” There is also one article available on JSTOR: see directions in final week’s reading. Web selections are preceded below by “WEB”.

OPTIONAL PURCHASES:

REQUIREMENTS:

1. Mid-term examination. Thursday, October 29. Essay and brief identifications (25% of grade)
2. Reading notes: Due Tuesday, November 24. a copy of the notes on readings you do for your team. (37.5% of grade)
3. Team project: presentations, debate, negotiations, and resolution. (37.5%)

A WARNING ON PLAGIARISM: Plagiarism is the appropriation of another person's words, ideas or research results without acknowledgement, and passing them off as one's own. The Department of History policy and guidelines with respect to plagiarism are available at <http://historyweb.ucsd.edu/pages/undergraduate/Current/plagiarism.htm>. I call your attention to this description of one of the most common forms of plagiarism: “verbatim copying of words, sentences, paragraphs or entire sections or chapter without quotation and proper attribution. This is the most obvious form of plagiarism. You must use quotation marks even if you only borrow several words in sequence from a source.” In recent years, plagiarism has become an increasingly serious problem in colleges across the country, as the Internet makes basic information on many historical issues readily available and easy to copy. Plagiarism is, however, a serious academic offense and is covered by university policy on academic dishonesty. UCSD

policy requires that the instructor report any suspected plagiarism to the Office of Academic Integrity Coordinator. (See <http://www-senate.ucsd.edu/manual/appendices/app2.htm>). The AIC will adjudicate the consequences of the offense, which could range up to suspension from UCSD. If I or the T.A. or reader for this course suspect that you have used another person's (including another book, article, or Web site's) words without proper footnoting, we will notify you and require that you submit an electronic copy of your paper to turnitin.com, so that we can use available electronic means to check for plagiarism. Fortunately, just as the Web makes plagiarism easier, it also makes detection of plagiarism easy. Students found guilty of plagiarism will receive no credit for the assignment and will be reported to the AIC for disciplinary action. Consider this fair warning. DO NOT PLAGIARIZE. It's just not worth it. The consequences can be extremely serious.

To those who are taking the class Pass/Not Pass:

In order to receive a passing grade you must complete all assignments, and your final grade must compute to C- or better.

LECTURES AND READING SCHEDULE:

September 24: Introduction to Course, Geography of China.

September 29: Video: Chinese Revolution, Part 1

October 1: 1911 Revolution, Warlordism and May 4

Readings: Schoppa, *Revolution and Its Past*, 124-180.

- * Henrietta Harrison, "Ethnicity and Modernity in the 1911 Revolution" and "Nation, Modernity and Class," from *Inventing the Nation: China*, pp. 132-166.
- * Lu Hsun (Lu Xun), "The True Story of Ah Q"
- * Chen Tu-hsiu (Chen Duxiu), "A Call to Youth"
- * Chen Duxiu, "The Way of Confucius and Modern Life" (de Bary, 2: 352-356)
- * Hu Shi, "Our Attitude Toward Modern Western Civilization," Sa Mengwu et al. "Declaration for Cultural Construction on a Chinese Basis," and Hu Shi, "Criticism of the 'Declaration...'" (de Bary, 2: 386-389)

October 6: 1920s: The National Revolution

October 8: The Guomindang in the Nanjing Decade, 1927-37

Readings: Schoppa, *Revolution and Its Past*, 181-220

- * Vera Schwarcz, "The Crucible of Political Violence: 1925-1927," from *The Chinese Enlightenment*, pp. 145-194.
 - * Lloyd Eastman, "The Blue Shirts and Fascism"
 - * Norman Smith, "Foundations of Colonial Rule in Manchukuo and the 'Woman Question'"
 - WEB: William Kirby, "Engineering China: Birth of the Developmental State, 1928-1937," from Wen-hsin Yeh, ed. *Becoming Chinese: Passages to Modernity and Beyond*, pp. 137-160.
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October 13: The Rise of the Chinese Communist Party
October 15: China's Frontiers

Readings: Schoppa, *Revolution and Its Past*, 221-240

- * Mao Tse-tung [Mao Zedong], "Report on the Peasant Movement in Hunan"
 - * Mao Zedong, "Oppose Book Worship" (May 1930)
 - * Mao Zedong, "Combat Liberalism" (September 7, 1937)
 - * Lu Minghui, "The Inner Mongolian 'United Autonomous Government'"
 - * Gray Tuttle "Global Forces in Asia (1870s-1910s)" (On Tibet)
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October 20: The Japanese invasion and Occupied China
October 22: The National Government at War

Readings: Schoppa, *Revolution and Its Past*, 241-285

- * Japan at War: "Prince Konoe's Address, September 1937," "The Japanese Ambassador Explains, 1937," and "Chiang [Kai-shek] Replies, 1938"
 - * The Rape of Nanjing: "Bearing Witness," and "the Nanjing 'Murder Race'"
 - * "Wang Jingwei on Collaboration, 1940"
 - * Timothy Brook, "Collaborationist Nationalism in Occupied Wartime China"
 - * "Chiang Kai-shek—The People's Choice?" and "The Honan Famine" from Theodore White and Annalee Jacoby, *Thunder out of China*.
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October 27: The CCP at War
October 29: Mid-Term Examination

Readings: Schoppa, *Revolution and Its Past*, 286-304

- * David S. G. Goodman, "Resistance and Revolution, Religion, and Rebellion"
- * Wang Shiwei, "Wild Lily"
- * Mao Zedong interview with John S. Service, August 23, 1944.

November 3: Video: The Chinese Revolution (Part II) and team organization
November 5: Team meeting: identifying key issues

November 10: Team reading reports: 1
November 12: Team reading reports: 2

November 17: Team meeting: refining issues and arguments
November 19: Reading reports: 3

November 24: [Tentative] Quarter final presentations (Group I): Laying out your position (15 minutes each). Selection of semi-finalists will be done by Group II. Eliminated teams (and their notes) may be recruited by winning teams. Think of this as pre-trial arguments. You need to make your case to potential allies, but you are also required to make your position known to your adversaries.
READING NOTES DUE IN CLASS.

November 26: THANKSGIVING – plot over turkey (or plot how not to **be** a turkey)

December 1: [Tentative] Quarter final presentations (Group II): Laying out your position (15 minutes each). Judging will be done by Group I. Eliminated teams (and their notes) may be recruited by winning teams.

December 3: [Tentative] Semi-final presentations.

FINAL EXAM: Friday, December 11, 7:00-10:00 p.m.

Finalists will gather to state final positions, negotiate, and see who will emerge as winner. A panel of experts will make the final decision.

ALL STUDENTS ARE REQUIRED TO ATTEND – ATTENDANCE WILL BE TAKEN

Suggested Readings

The Nationalist Party (Guomindang/Kuomintang)

1. General Studies and Overviews

Jay Taylor, *The Generalissimo: Chiang Kai-shek and the Struggle for Modern China*. Cambridge, Mass.: Harvard University Press, 2009.

Frederic Wakeman, Jr. and Richard Louis Edmonds, *Reappraising Republican China* New York : Oxford University Press, 2000.

2. The 1920s

Wilbur, C. Martin. *The Nationalist Revolution in China, 1923-1928*. Cambridge: Cambridge University Press, 1984.

3. The Nanjing Decade

Lloyd E. Eastman, *The Abortive Revolution : China under Nationalist Rule, 1927-1937*. Cambridge, Mass.: Harvard University Press, 1974.

Hung-mao Tien, *Government and Politics in Kuomintang China, 1927-1937*. Stanford, Calif.: Stanford University Press, 1972

Parks M. Coble, *Facing Japan : Chinese Politics and Japanese Imperialism, 1931-1937*. Cambridge, Mass.: Council on East Asian Studies Harvard University, 1991.

Frederic Wakeman, Jr. *Spymaster: Dai Li and the Chinese Secret Service*. Berkeley, Calif. : University of California Press, 2003.

4. The Wartime and Civil War Period

Theodore Harold White, and Annalee Jacoby. *Thunder out of China*. New York: William Sloane Associates, 1946.

Lloyd E. Eastman, *Seeds of Destruction : Nationalist China in War and Revolution, 1937-1949*. Stanford, Calif.: Stanford University Press, 1984.

Hung, Chang-tai. *War and Popular Culture : Resistance in Modern China, 1937-1945*. Berkeley: University of California Press, 1994.

David Pong, ed. *Resisting Japan: Mobilizing for War in China, 1935-45*. Norwalk, CT: EastBridge, 2008.

Suzanne Pepper, *Civil War in China : The Political Struggle, 1945-1949*. Berkeley: University of California Press, 1978.

The Chinese Communist Party

1. General Studies and Overviews

Edgar Snow, *Red Star over China*. New York: Modern library, 1944.

Lucien Bianco, *Origins of the Chinese Revolution, 1915-1949*. Stanford, Calif.: Stanford University Press, 1971.

Joseph W. Esherick. "Ten Theses on the Chinese Revolution," *Modern China* 21.1 (January 1995): 45-76.

2. Early History of the Communist Party (esp. 1920s)

Arif Dirlik, *The Origins of Chinese Communism*. New York: Oxford University Press, 1989.

Benjamin I. Schwartz, *Chinese Communism and the Rise of Mao*. Cambridge: Harvard University Press, 1961.

Harold R. Isaacs, *The Tragedy of the Chinese Revolution*. Stanford, Calif.: Stanford University Press, 1951.

Maurice J. Meisner, *Li Ta-Chao and the Origins of Chinese Marxism*. Cambridge: Harvard University Press, 1967.

Hans J. Van de Ven, *From Friend to Comrade: The Founding of the Chinese Communist Party, 1920-1927*. Berkeley: University of California Press, 1991.

3. The Jiangxi Soviet Period

- Stephen C. Averill, *Revolution in the Highlands: China's Jinggangshan Base Area*. Lanham, Md.: Rowman & Littlefield Publishers, c2006
4. *The Wartime Period*
- Van Slyke, Lyman P. *Enemies and Friends; the United Front in Chinese Communist History*. Stanford, Calif.: Stanford University Press, 1967.
- Chen, Yung-fa. *Making Revolution : The Communist Movement in Eastern and Central China, 1937-1945*. Berkeley: University of California Press, 1986.
- David Goodman. *Social and Political Change in Revolutionary China: The Taihang Base Area In the War of Resistance to Japan, 1937-194* Lahan, MD: Rowman and Littlefield, 2000.
- Kathleen Hartford and Steven M. Goldstein. *Single Sparks : China's Rural Revolutions*. Armonk, N.Y.: M.E. Sharpe, 1989.
- Chalmers A Johnson,. *Peasant Nationalism and Communist Power; the Emergence of Revolutionary China, 1937-1945*. Stanford, Calif.: Stanford University Press, 1962.
- Mark Selden, *The Yanan Way in Revolutionary China*. Cambridge: Harvard University Press, 1971.
- Tetsuya Kataoka, *Resistance and Revolution in China : The Communists and the Second United Front*. Berkeley: University of California Press, 1974.
5. *The Civil War Period*
- Suzanne Pepper, *Civil War in China : The Political Struggle, 1945-1949*. Berkeley: University of California Press, 1978.
- William Hinton, *Fanshen : A Documentary of Revolution in a Chinese Village*. New York: Vintage Books, 1966.
- Edward Friedman, Paul Pickowicz and Mark Selden. *Chinese Village, Socialist State*. New Haven: Yale University Press, 1991

Collaboration with Japan

1. *Manchuria*
- Rana Mitter, *The Manchurian Myth: Nationalism, Resistance, and Collaboration in Modern China*. Berkeley: University of California Press, 2000.
- Prasenjit Duara, *Sovereignty and Authenticity: Manchukuo and the East Asian Modern*. Lanham: Rowman and Littlefield, 2003
- Norman Smith, *Resisting Manchukuo: Chinese Women Writers and the Japanese Occupation*. Vancouver: UBC Press, 2007.
2. *War of Resistance*
- Timothy Brook, *Collaboration*. Cambridge, Mass.: Harvard University Press, 2005.
- David Barret and Larry Syue, eds. *Chinese Collaboration with Japan, 1932-1945*. Stanford, Calif.: Stanford University Press, 2001.
- John Hunter Boyle. *China and Japan at War, 1937-1945; the Politics of Collaboration*. Stanford, Calif.: Stanford University Press, 1972.
- Wen-hsin Yeh, *Wartime Shanghai*. London : Routledge, 1998
- Poshek Fu. *Passivity, Resistance, and Collaboration : Intellectual Choices in Occupied Shanghai, 1937-1945*. Stanford, Calif.: Stanford University Press, 1993.
- Frederic E. Wakeman, *The Shanghai Badlands:: Wartime Terrorism and Urban Crime, 1937-1941*. Cambridge: Cambridge University Press, 1996.

China's Frontiers

1. *Tibet*
- Melvyn C. Goldstein, *A History of Modern Tibet, 1913-1951: The Demise of the Lamaist State*. Berkeley : University of California Press, 1989.

- Melvyn C. Goldstein, *The Snow Lion and the Dragon: China, Tibet, and the Dalai Lama*. Berkeley : University of California Press, 1997.
- Gary Tuttle. *Tibetan Buddhism in the Making of Modern China*. New York: Columbia University Press, 2005.
2. *Mongolia*
- Xiaoyuan Liu. *The Reins of Liberation: An Entangled History of Mongolian Independence, Chinese Territoriality and Great Power Hegemony, 1911-1950*. Stanford: Stanford University Press, 2006.
- Xiaoyuan Liu, *Frontier Passages: Ethnopolitics and the Rise of Chinese Communist, 1921-1945*. Stanford: Stanford University Press, 2004.
- Christopher Atwood. *Young Mongols and Vigilantes in Inner Mongolia's Interregnum Decades, 1911-1931*. Leiden: Brill, 2002.
- Uradyn Bulag, *The Mongols at China's Edge: History and the Politics of National Unity*. Lanham: Rowman and Littlefield, 2002.
- Sechin Jagchid. *The Last Mongol Prince: The Life and Times of Demchugdongdrop, 1902-1966*. Bellingham, WA: Western Washington University Center for East Asian Studies, 1999.
3. *Manchuria*
- Rana Mitter, *The Manchurian Myth: Nationalism, Resistance, and Collaboration in Modern China*. Berkeley: University of California Press, 2000.
- Prasenjit Duara, *Sovereignty and Authenticity: Manchukuo and the East Asian Modern*. Lanham: Rowman and Littlefield, 2003
- Xiao Hong, *Field of Life and Death* (Shengsi chang [1935]). Howard Goldblatt trans. Cheng and Tsui: 2002.
4. *Xinjiang*
- James A. Millward, *Eurasian Crossroads: a History of Xinjiang*. New York: Columbia University Press, 2007.
- Andrew D.W. Forbes. *Warlords and Muslims in Chinese Central Asia: a Political History of Republican Sinkiang 1911-1949*. Cambridge: Cambridge University Press, 1986.
- Owen Lattimore, *Pivot of Asia; Sinkiang and the Inner Asian Frontiers of China and Russia*. Boston, Little, Brown, 1950
- David D. Wang. *Under the Soviet Shadow: the Yining Incident: Ethnic Conflicts and International Rivalry in Xinjiang, 1944-1949*. Hong Kong : The Chinese University Press, 1999.